

*This brochure supplement provides information about Cynthia DiBartolo that supplements the Tigress Financial Partners, LLC brochure. You should have received a copy of that brochure. Please contact Cynthia DiBartolo if you did not receive Tigress Financial Partners, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Lily Li is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Tigress Financial Partners, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Lily Li**

Personal CRD Number: 1741746

Investment Adviser Representative

Tigress Financial Partners, LLC  
410 Park Avenue, 12<sup>th</sup> Floor  
New York, NY 10022  
(212) 430-8700  
[cDiBartolo@tigressfp.com](mailto:cDiBartolo@tigressfp.com)

UPDATED: 10/01/2023

## Item 2: Educational Background and Business Experience

**Name:** Lily Elizabeth Li

**Born:** 1962

### **Educational Background and Professional Designations:**

#### **Education:**

BS - Statistics, University of Chicago – 1984

MBA, University of Chicago – 1987

#### **Business Background:**

04/2016- Present	Senior Partner Tigress Financial Partners LLC
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10/2012-04/2016	Director UNFCU
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10/2005 – 10/2012	Senior Vice President Merrill Lynch
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10/1997 – 10/2005	Vice President Investment JPMorgan Chase
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## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Lily Elizabeth Li is the Chief Compliance Officer, of Tigress Financial Partners LLC. Lily is also a licensed registered representative, investment advisor and Series 24 licensed supervisor. From time to time, Lily Elizabeth Li will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Tigress Financial Partners, LLC always acts in the best interest of the client, including with respect to the sale of commissionable products to advisory clients. Clients are in no way required to implement

the plan through any representative of Tigress Financial Partners, LLC in such an individual's capacity as a registered representative.

Lily Elizabeth Li is an independent licensed insurance agent, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Tigress Financial Partners, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of Tigress Financial Partners, LLC in connection with such an individual's activities outside of Tigress Financial Partners, LLC.

Lily Elizabeth Li is also a landlord of four Condo rental Properties and serves as Board elected Treasurer to a Real Estate Condo Board in NYC. Lily Elizabeth Li currently spends 45 minutes per week on this outside activity, none of the time spent on this outside activity is conducted during market hours.

### **Item 5: Additional Compensation**

Lily Elizabeth Li does not receive any economic benefit from any person, company, or organization, other than Tigress Financial Partners, LLC in exchange for providing clients advisory services through Tigress Financial Partners, LLC.

### **Item 6: Supervision**

Lily Elizabeth Li adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements for State Registered Advisers

*This disclosure is required by New York state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Lily Elizabeth Li has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Lily Elizabeth Li has NOT been the subject of a bankruptcy petition in the past ten years.